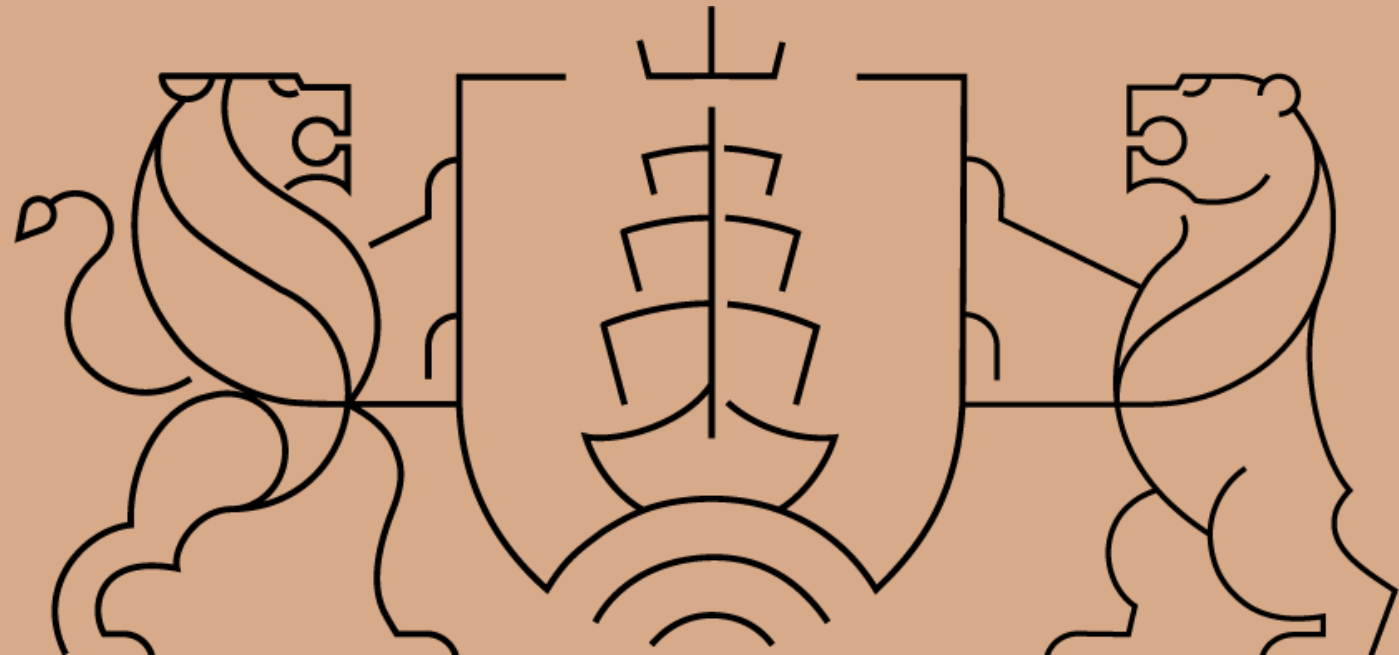


BERGOS AG

E-BANKING

DESKTOP VIEW: SECURITIES ORDERS



Content

1. CREATING A NEW SECURITIES ORDER BY PLACING A SINGLE ORDER	3
2. CREATING A NEW SECURITIES ORDER AS A LIMIT ORDER	5
3. CREATING A NEW SECURITIES ORDER FROM THE POSITIONS MENU	6
4. VIEWING SECURITIES ORDERS IN THE ORDER BOOK	7
5. VIEWING THE DETAILS OF A SECURITIES ORDER	8



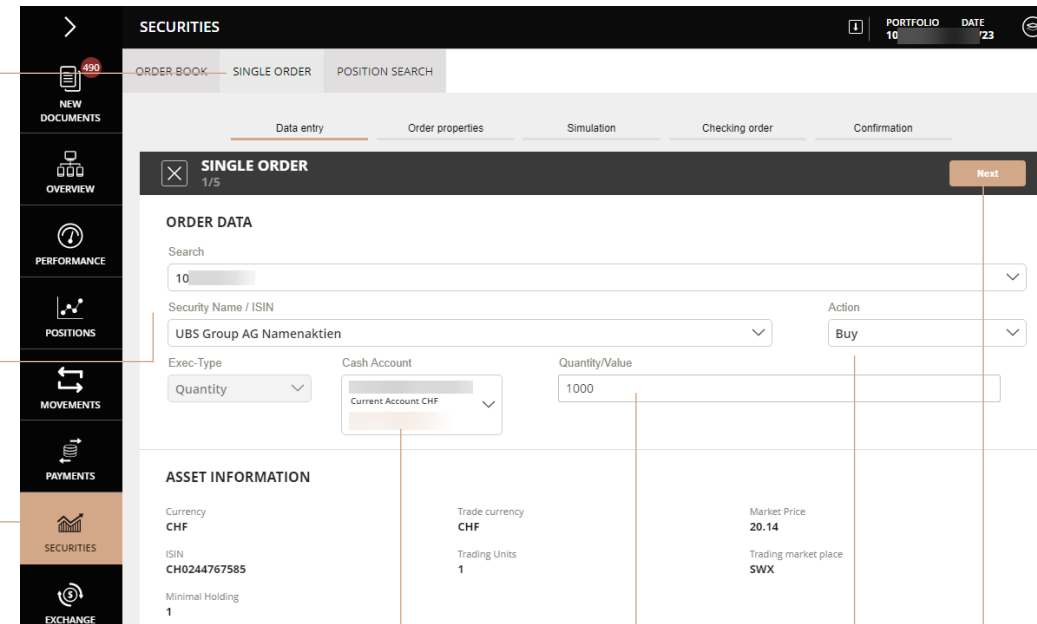
1. CREATING A NEW SECURITIES ORDER BY PLACING A SINGLE ORDER

+ Placing a “Single Order”:

1. Click on the “Securities” icon in the left sidebar. In case the side bar cannot be seen, click on the white arrow at the top left and try again.
2. Click on “Single Order” in the top menu.

+ Entering the Order data:

3. Enter at least 3 characters in the “Security Name / ISIN” field. The more exact the entry, the fewer securities are presented by the system (e.g. entering “UBSG” instead of “UBS”).
4. Select either “Buy” or “Sell”.
5. Choose the correct cash account.
6. Specify the required quantity of the security.
7. Click “Next”.



The screenshot displays the 'SECURITIES' section of the BERGOS interface. The main area is titled 'SINGLE ORDER' and contains the following fields and information:

- ORDER DATA:**
 - Search: 10
 - Security Name / ISIN: UBS Group AG Namenaktien
 - Action: Buy
 - Exec-Type: Quantity
 - Cash Account: Current Account CHF
 - Quantity/Value: 1000
- ASSET INFORMATION:**
 - Currency: CHF
 - Trade currency: CHF
 - Market Price: 20.14
 - ISIN: CH0244767585
 - Trading Units: 1
 - Trading market place: SWX
 - Minimal Holding: 1

The left sidebar includes icons for NEW DOCUMENTS, OVERVIEW, PERFORMANCE, POSITIONS, MOVEMENTS, PAYMENTS, SECURITIES, and EXCHANGE. The top navigation bar shows 'ORDER BOOK', 'SINGLE ORDER', and 'POSITION SEARCH'. The 'SECURITIES' icon in the sidebar is highlighted with an orange box, and the 'SINGLE ORDER' option in the top menu is also highlighted. Orange lines connect the text instructions to the corresponding UI elements.

Note: It is also possible to directly create an order of already owned securities from the “Positions” menu. This process is described on p. 5.

1. CREATING A NEW SECURITIES ORDER BY PLACING A SINGLE ORDER

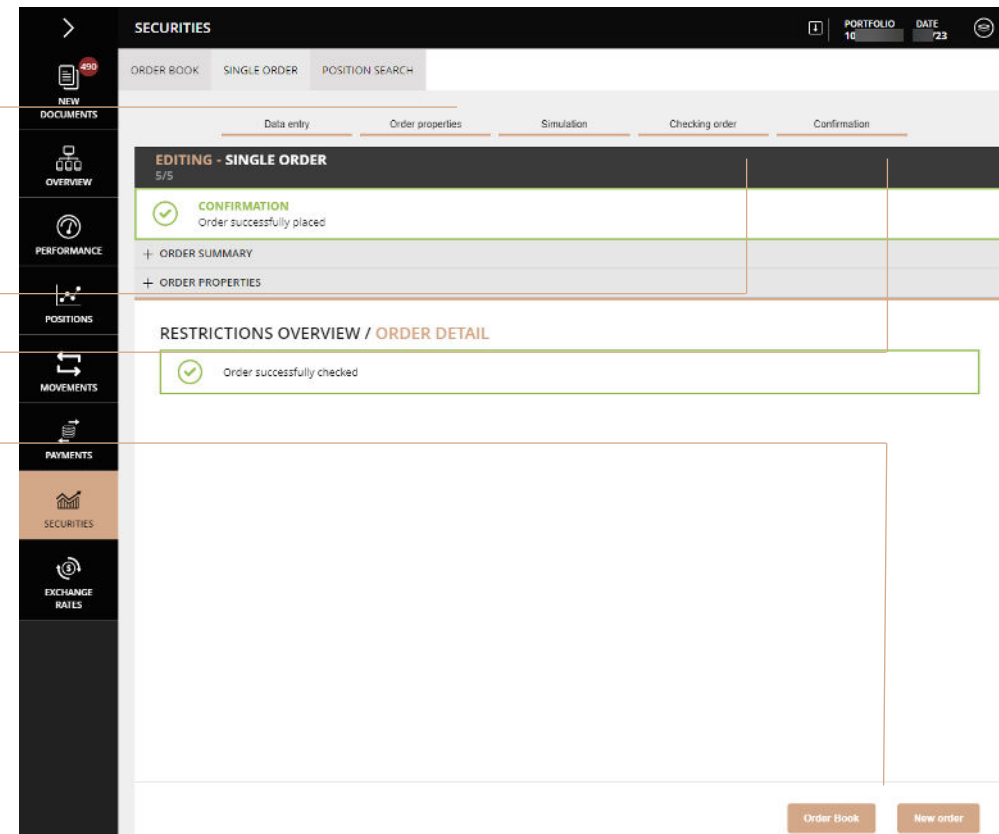
+ Completing the “Single Order”:

8. “Order Properties”: Enter the required information. For details on creating a limit order, refer to page 5. Click on “Place Order”.

9. “Checking Order”: The order is checked by the system. Click on “Place Order”.

10. “Confirmation”: The order placement is confirmed.

11. Click on “Order Book” to view an overview of the orders or “New Order” to place another order.

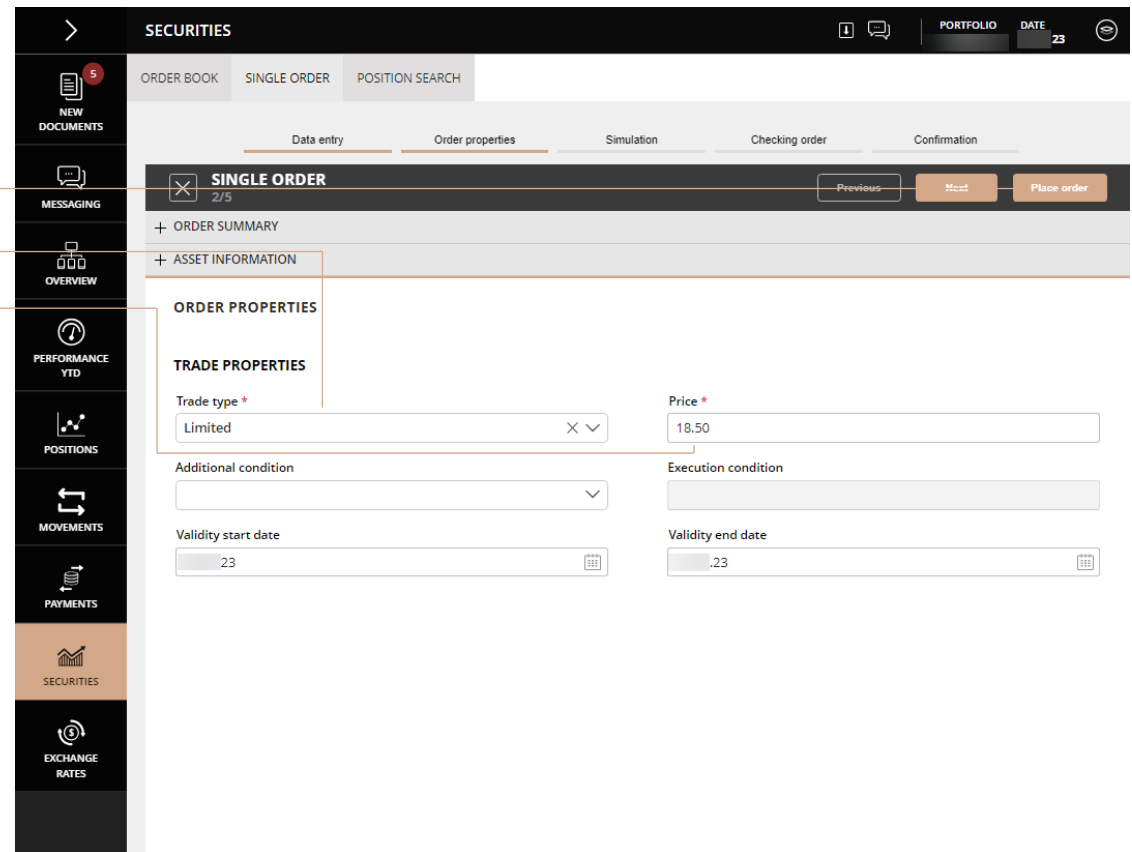


Note: Mandatory fields are highlighted with a “*”.

2. CREATING A NEW SECURITIES ORDER AS A LIMIT ORDER

+ Entering a Limit Order:

1. Proceed with steps 1.-7. as described on page 3.
2. Choose "Limited" from the dropdown menu in the field "Trade Type".
3. Enter the limit price in the "Price" field.
4. Click on "Place order". Proceed with step 9 on page 4.



The screenshot displays the 'SECURITIES' section of the BERGOS interface. The 'SINGLE ORDER' form is active, showing the following details:

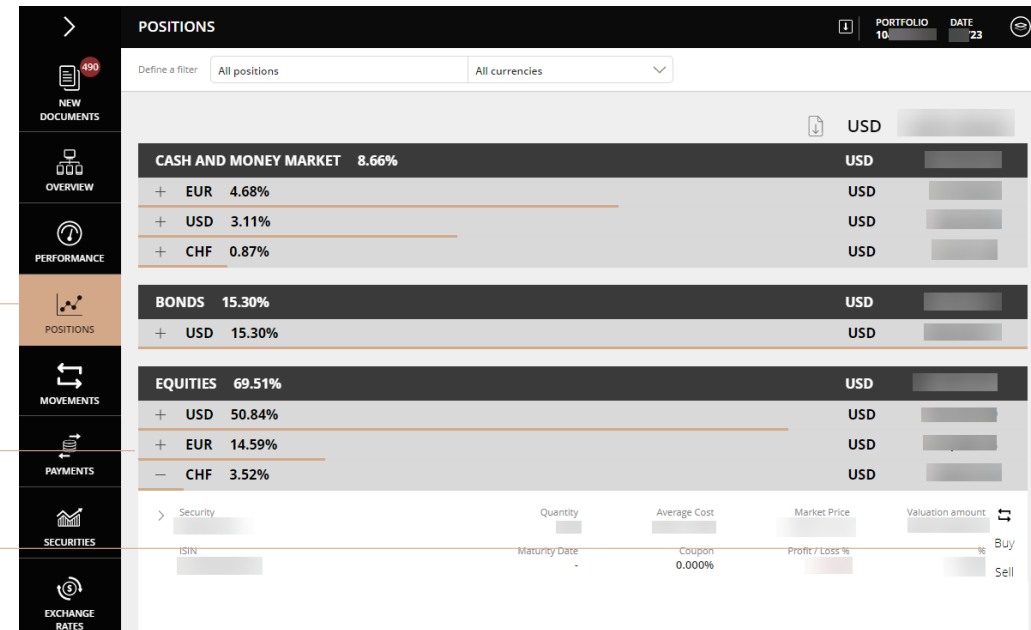
- ORDER BOOK** | **SINGLE ORDER** | **POSITION SEARCH**
- ORDER PROPERTIES** (selected tab)
- TRADE PROPERTIES**
 - Trade type *: Limited
 - Price *: 18.50
- Validity start date**: .23
- Validity end date**: .23
- Buttons**: Previous, Next, Place order

Note: Mandatory fields are highlighted with a "*".

3. CREATING A NEW SECURITIES ORDER FROM THE POSITIONS MENU

+ Step 1 to 4:

1. Click on the “Positions” icon in the left sidebar. In case the side bar cannot be seen, click on the white arrow at the top left and try again.
2. Expand the applicable securities group by clicking on the “+” on the left (*).
3. Navigate to the applicable position. Click on “Buy” or “Sell” on the right.
4. The system automatically opens the “Single Order” menu, pre-filling certain information. Proceed with step 4 to be found on page 3.



Category	Sub-category	Value	Currency
CASH AND MONEY MARKET	+ EUR	4.68%	USD
	+ USD	3.11%	USD
	+ CHF	0.87%	USD
BONDS	+ USD	15.30%	USD
			USD
EQUITIES	+ USD	50.84%	USD
	+ EUR	14.59%	USD
	- CHF	3.52%	USD
			USD

Security	Quantity	Average Cost	Market Price	Valuation amount	Buy	Sell
ISIN						
Maturity Date		Coupon	Profit / Loss %			
		0.000%				

(*) Note: Once you click in the symbol “+”, the symbol will switch to “-” and vice-versa.

4. VIEWING SECURITIES ORDERS IN THE ORDER BOOK

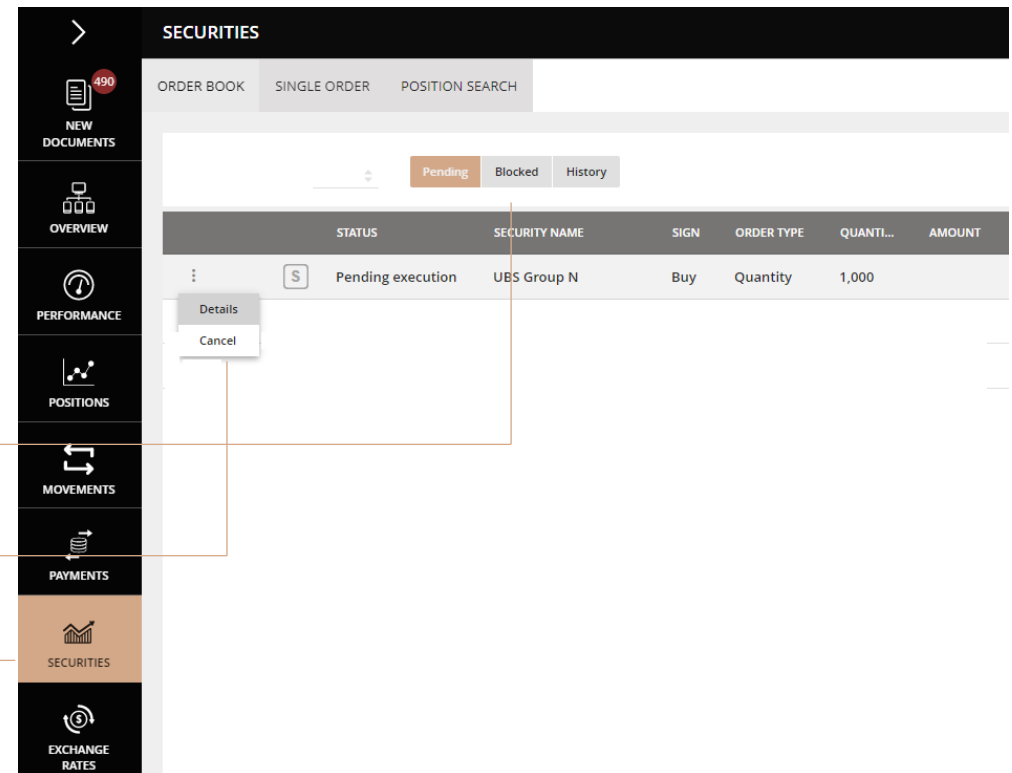
+ The “Order Book” shows all existing orders:

1. Click on the “Securities” icon in the left sidebar. In case the side bar cannot be seen, click on the white arrow at the top left and try again.

The orders are displayed in the table in the middle of the screen.

2. Navigate between “Pending”, “Blocked” and “Historic” orders by clicking on the dedicated buttons in the top centre of the screen. Per default, “Pending” orders are displayed.

3. To view the details of an order, click on the menu indicated by 3 small dots to the left of an order and select “Details”. Refer to page 8 to continue.



Note: In case a previously placed securities order is not displayed, try refreshing the web page in your web browser.

5. VIEWING THE DETAILS OF A SECURITIES ORDER IN THE ORDER BOOK

+ Access the order details:

1. To view the order summary, click on the “Order Summary” header to expand the information.
2. To view the order properties, click on the “Order Properties” header to expand the information.
3. Close the order details by clicking “Close” at the bottom right.

SINGLE ORDER - Details
 Status: **PENDING EXECUTION**

— ORDER SUMMARY

Action Buy	Security Name / ISIN UBS Group AG	Exec-Type Quantity
Quantity/Value 1000	Cash Account 104264003.100.CHF - Current Account CHF CHF	
Portfolio 1042640_03		

— ORDER PROPERTIES

TRADE PROPERTIES

Trade type At Best	Price -
Additional condition -	Execution condition -
Validity start date 2/13/23	Validity end date 2/13/23

RESTRICTIONS OVERVIEW / ORDER DETAIL

✓ Order successfully checked

Delete order

Execute order

Request Cancellation

Edit order

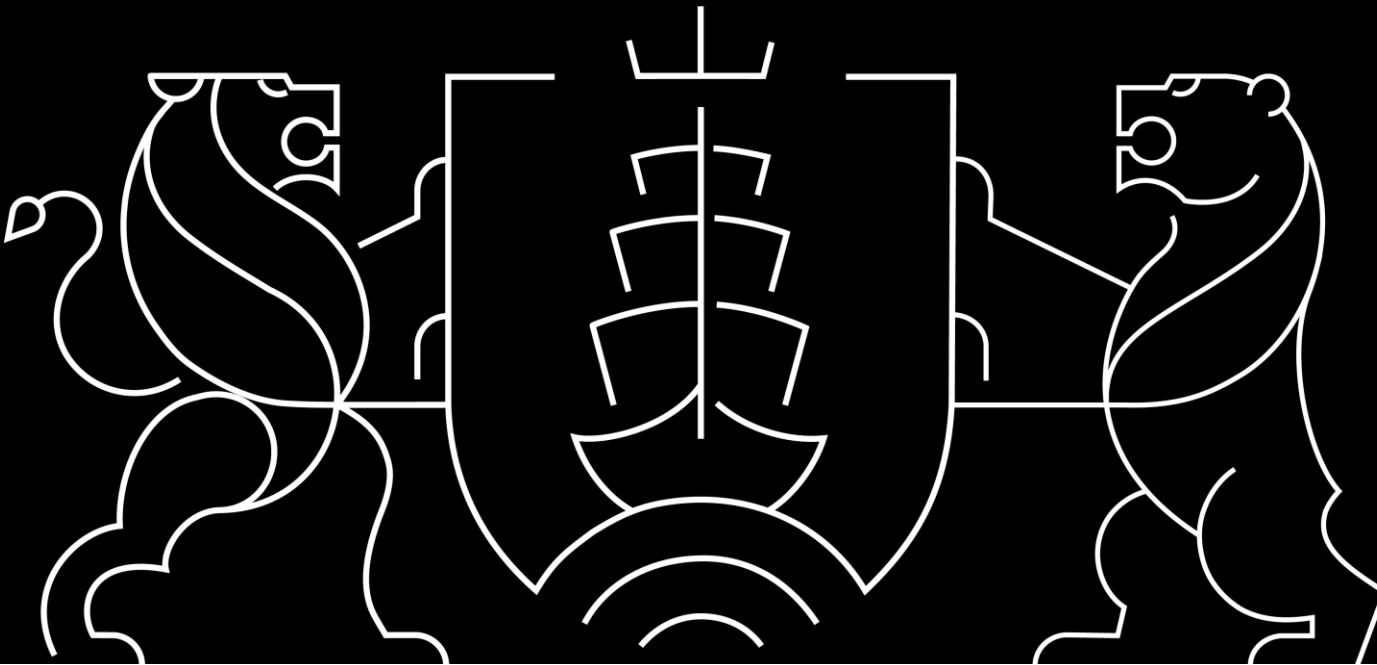
Close

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Bergos AG
Kreuzstrasse 5
8008 Zürich